Proof of Theorem

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Cluster algebras and symplectic topology III

Summer School on Cluster Algebras 2023



Roger Casals (UC Davis) August 23rd 2023

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Today's focus			

Goal: Show that every cluster seed in $\mathbb{C}[\mathfrak{M}(\Lambda_{\beta})]$ comes from a filling.

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Making the state	ement precise		

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Making the state	ement precise		

1. Lag(Λ_{β}) := {embedded exact Lagrangian fillings of Λ_{β} }/ $\sim_{Ham.}$.

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2. $Lag^{c}(\Lambda_{\beta}) := \{(L,\Gamma) : L \in Lag(\Lambda_{\beta}), \text{ cluster } \mathbb{L}\text{-compressing system } \Gamma\}$

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- 2. Lag^c(Λ_{β}) := {(L, Γ) : $L \in Lag(\Lambda_{\beta})$, cluster \mathbb{L} -compressing system Γ } Seed($X(\Lambda_{\beta})$) := {cluster seeds in $\mathbb{C}[X(\Lambda_{\beta})]$ }.
 - $\exists \text{ a map } \mathfrak{C}^{\circ} : \mathsf{Lag}^{\mathsf{c}}(\Lambda_{\beta}) \longrightarrow \mathsf{Seed}(X(\Lambda_{\beta}, T)), \quad \mathfrak{C}(L, \Gamma) := (T_L, A(\Gamma)).$

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 ∃ a map C° : Lag^c(Λ_β) → Seed(X(Λ_β, T)), C(L, Γ) := (T_L, A(Γ)).
- 3. Central question: surjectivity and injectivity of \mathfrak{C}° and \mathfrak{C} ? (If \mathfrak{C} surjects, then \mathfrak{C}° surjects onto Seed($X(\Lambda_{\beta}, T)$), subset of Toric($X(\Lambda_{\beta}, T)$).)

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What we knew			

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It is (unfortunately) easy to review all that is known on this:

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1. Injectivity is known only when Λ_{β} is the unknot. In that case, $\mathfrak{M}(\Lambda)$ is a point and there is a unique filling $L \cong \mathbb{D}^2$. (\leftarrow non-trivial result)

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- 3. In previous work: symplectically realized elements of the cluster modular group and showed that it surjects onto some infinite families in Seed $(X(\Lambda_{\beta}, T))$. (\leftarrow braid group actions in Gr(k, kn), Donaldson-Thomas)

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Today: Focus on surjectivity.

Also, we restrict to Λ_{β} with $\beta = w_0 \gamma w_0$. (We write Λ_{β} to mean $\Lambda_{w_0\beta w_0}$ onward.)

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Main result I: Surjectivity

Theorem (2023)

Let $\Lambda_{\beta} \subset (\mathbb{R}^3, \xi_{st})$ be the Legendrian link associated to a positive braid word β and $X(\Lambda_{\beta})$ its augmentation variety, with one marked point per component. Then the set-theoretic map

 $\mathfrak{C}: Lag^{c}(\Lambda_{\beta}) \longrightarrow Seed(X(\Lambda_{\beta}))$

is surjective, i.e. each cluster seed is induced by an embedded exact Lagrangian filling endowed with an \mathbb{L} -compressing system.

Proof of Theorem

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- Even better: show that any sequence of cluster mutations can be realized by a sequence of Lagrangian disk surgeries.
- Non-trivial problem: in algebra mutation automatically removes 2-cycles (by fiat), in geometry this is not at all immediate, e.g. algebraic intersection 0 but geometric intersection 2.

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Main result II: The technical statement

Theorem (2023)

Let $\Lambda_{\beta} \subset (\mathbb{R}^3, \xi_{st})$ be the Legendrian link associated to a positive braid word β . Then there exists an embedded exact Lagrangian filling $L \subset (\mathbb{R}^4, \lambda_{st})$ of Λ_{β} and an \mathbb{L} -compressing system Γ for L such that the following holds:

Proof of Theorem

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(i) If μ_{vℓ} ... μ_{v1} is any sequence of mutations, where v₁,..., v_ℓ are mutable vertices of the quiver Q(c(L, Γ)) associated to the cluster seed c(L, Γ) of L in C[X(Λ_β)], then there exists a sequence of embedded exact Lagrangian fillings L_k of Λ_β, each equipped with an L-compressing system Γ_k, with associated cluster seeds

$$\mathfrak{c}(L_k,\Gamma_k)=\mu_{v_k}\ldots\mu_{v_1}(\mathfrak{c}(L,\Gamma))$$

in $\mathbb{C}[X(\Lambda_{\beta})]$, for all $k \in [\ell]$.

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in $\mathbb{C}[X(\Lambda_{\beta})]$, for all $k \in [\ell]$.

(ii) Each L-compressing system Γ_k for L_k is such that Lagrangian disk surgery on L_k along any Lagrangian disk in $\mathfrak{D}(\Gamma_k)$ yields an L-compressing system. In addition, Γ_{k+1} is equivalent to this L-compressing system via a sequence of triple point moves and local bigon moves.

Proof of Theorem

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(ii) Each \mathbb{L} -compressing system Γ_k for L_k is such that Lagrangian disk surgery on L_k along any Lagrangian disk in $\mathfrak{D}(\Gamma_k)$ yields an \mathbb{L} -compressing system. In addition, Γ_{k+1} is equivalent to this \mathbb{L} -compressing system via a sequence of triple point moves and local bigon moves.

Non-trivial problem (alternative): need to show that an $\mathbb{L}\text{-compressing}$ system persists under Lagrangian disk surgeries.

What may go wrong?

Let \mathfrak{D} be an \mathbb{L} -compressing system for L and $\Gamma = \{\gamma_1, \ldots, \gamma_b\}$ the set of curves in L given by the boundaries of the disks, $b = b_1(L)$.



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This is called a γ -exchange of Γ along γ .

(ii) This process creates a new configuration of curves μ_i(Γ). The intersection quiver Q(μ_i(Γ)) of μ_i(Γ) is the mutation at γ_i of the intersection quiver Q(Γ) except that it might have 2-cycles.

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- (iii) If one applies Lagrangian disk surgery along a disk whose boundary is a vertex part of a 2-cycle, then it results in an **immersed curve**.

Problem: Lagrangian surgery works for embedded, not immersed!

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The issue was the bigon: need to understand when they can be removed!

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Curve Quiver with Potential

Idea: Construct a QP that keeps tracks of polygons



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Curve Quiv	ver with Potential		

Let Σ be an oriented surface and C = {γ₁,..., γ_b}, b ∈ N, a collection of embedded oriented closed connected curves γ_i ⊂ Σ.

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(\rightarrow this will all be a *smooth* construction, no symplectic topology!)

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Curve Quiv	ver with Potential		

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- Suppose that their homology classes in $H_1(L, \mathbb{Z})$ are linearly independent. (or weaker "bigon sides" condition)

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- Suppose that their homology classes in H₁(L, ℤ) are linearly independent. (or weaker "bigon sides" condition)
- By definition, the quiver Q(C) has vertices the γ_i and arrows their *geometric* intersections.
- We want to build a potential W(C) ∈ HH₀(Q(C)) for Q(C) that keeps track of the *polygons* in Σ bounded by curves in C.

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The Potential	$W(\mathcal{C})$		

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The Potential	$M(\mathcal{C})$		

1. The potential $W(\mathcal{C}) \in HH_0(\mathcal{Q}(\mathcal{C}))$ of $\mathcal{Q}(\mathcal{C})$ is defined by

$$\mathcal{W}(\mathcal{C}) = \sum_{\mathsf{v}_1 \dots \mathsf{v}_\ell \in \mathsf{\Gamma}_\ell^+} \sigma(\mathsf{v}_1 \dots \mathsf{v}_\ell) \cdot \mathsf{v}_\ell \dots \mathsf{v}_1 \quad - \sum_{\mathsf{w}_1 \dots \mathsf{w}_\ell \in \mathsf{\Gamma}_\ell^-} \sigma(\mathsf{w}_1 \dots \mathsf{w}_\ell) \cdot \mathsf{w}_1 \dots \mathsf{w}_\ell,$$

where $\Gamma_{\ell}^{\pm} = \{\ell \text{-gons bounded by } \mathcal{C} \text{ which are } \pm \text{-oriented}\}$. (Here σ is sign for \mathbb{Z} .)



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The Poten	tial $W(C)$		

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2. By definition, $(Q(\mathcal{C}), W(\mathcal{C}))$ is the curve quiver with potential of \mathcal{C} .

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where $\Gamma_{\ell}^{\pm} = \{\ell \text{-gons bounded by } \mathcal{C} \text{ which are } \pm \text{-oriented}\}.$ (Here σ is sign for \mathbb{Z} .)



- 2. By definition, $(Q(\mathcal{C}), W(\mathcal{C}))$ is the curve quiver with potential of \mathcal{C} .
- 3. There is a notion of QP-mutation due to Derksen-Weyman-Zelevinsky (DWZ). Also, we consider QPs up to right-equivalence.

What properties do we need for such curve QPs?

In order to get rid of bigons, we use the following:

Theorem (Hass-Scott Algorithm)

Let C_0 be a configuration with a collection of bigons $\{B_1, \ldots, B_m\}$. Then, for any $i \in [m]$, there exists a sequence of triple point moves and one local bigon move on C_0 that yields a new configuration C_1 such that the collection of bigons of C_1 is $\{B_1, \ldots, B_m\} \setminus \{B_i\}$.



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- We must understand behavior of curve QPs under triple point moves and bigon moves. (→ change in quiver and potential)
- We know that Q(C) changes according to quiver mutation under Lagrangian surgery. We must still show that W(C) changes according to the DWZ's QP-mutation. (→ see how polygons change)

Invariance of Curve QPs under planar moves I

Proposition

Let (Q(C), W(C)) be a curve QP associated to C. Then (Q(C), W(C)) is invariant under triple point moves, up to right-equivalence.







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• Right-equivalence: $p_{21} \mapsto p_{21} - p_{23}p_{31}$ and identity for the rest. Then matrices will match, indeed

$$\begin{pmatrix} 0 & p_{21} + p_{23}p_{31} & p_{31} \\ p_{21} & 0 & p_{23} \\ p_{31} & p_{23} & 0 \end{pmatrix}$$

now becomes

$$\begin{pmatrix} 0 & (p_{21} - p_{23}p_{31}) + p_{23}p_{31} & p_{31} \\ (p_{21} - p_{23}p_{31}) & 0 & p_{23} \\ p_{31} & p_{23} & 0 \end{pmatrix} = \begin{pmatrix} 0 & p_{21} & p_{31} \\ p_{21} - p_{23}p_{31} & 0 & p_{23} \\ p_{31} & p_{23} & 0 \end{pmatrix},$$

which is the second matrix we had relabeled, thus concludes first case.

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Bigon moves			

Eliminating bigons: Extracting the reduced part

By [DWZ], every (Q, W) breaks into a *trivial* and *reduced* parts: $(Q_{triv}, W_{triv}) \oplus (Q_{red}, W_{red})$. (Intuitively, *trivial* contains 2-cycles seen by W.)

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Bigon moves

Curve QPs

Proof of Theorem

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Proposition

Let (Q(C), W(C)) be a curve QP associated to C and C_{red} the result of applying the Hass-Scott algorithm removing all bigons. Then

$$(Q(\mathcal{C}_{red}), W(\mathcal{C}_{red})) = (Q(\mathcal{C})_{red}, W(\mathcal{C})_{red})$$

is the reduced part of $(Q(\mathcal{C}), W(\mathcal{C}))$.

Bigon moves

Curve QPs

Proof of Theorem

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is the reduced part of $(Q(\mathcal{C}), W(\mathcal{C}))$.

Therefore, in the context of curve QP, we know that extracting the reduced part of curve QP is achieved by removing bigons.

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Curve QPs under Lagrangian disk surgery

Disk surgery: Inducing QP-mutations

By [DWZ], QP-mutation consists of a quiver mutation without eliminating 2-cycles, a change in W, and then taking the reduced part.

Curve QPs under Lagrangian disk surgery

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Proposition

Let (Q(C), W(C)) be a curve QP associated to C and $\gamma \in C$. Then the curve QP associated to the γ -exchange of C is the QP-mutation of (Q(C), W(C)) at γ :

 $(Q(\mu_{\gamma}(\mathcal{C})), W(\mu_{\gamma}(\mathcal{C}))) = (\mu_{\gamma}(Q(\mathcal{C})), \mu_{\gamma}(W(\mathcal{C}))).$

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Curve QPs under Lagrangian disk surgery

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 $(Q(\mu_{\gamma}(\mathcal{C})), W(\mu_{\gamma}(\mathcal{C}))) = (\mu_{\gamma}(Q(\mathcal{C})), \mu_{\gamma}(W(\mathcal{C}))).$

Therefore, in the context of curve QP, performing a γ -exchange (e.g. from Lagrangian disk surgery) is a QP-mutation.

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Example of QP-mutation from γ -exchange

Let us work out the change in the quiver in a simple scenario:





The change in polygons in this scenario:



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Introduction	Curve QPs	Proof of Theorem	Questions
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Steps for su	rjectivity		

 Construct a filling L and an L-compressing system D such that the associated curve QP (Q(D), W(D)) is non-degenerate.

Non-degeneracy guarantees that no 2-cycles ever appear when mutating $(Q(\mathfrak{D}), W(\mathfrak{D}))$, so you can mutate forever. How is this achieved?

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2. The construction uses *conjugate surfaces* associated to plabic fences:





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Steps for surjec	tivity II		

3. Use that *conjugate surface can be made an embedded exact Lagrangian filling* and plabic faces give L-compressing disks. (← *weaves work too*)





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4. Prove that the resulting curve QP is **rigid**, which implies non-degenerate. (Rigid is intuitively that there are no non-trivial infinitesimal deformations: trace space of Jacobian algebra is just the ground ring.)

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4. Prove that the resulting curve QP is **rigid**, which implies non-degenerate. (Rigid is intuitively that there are no non-trivial infinitesimal deformations: trace space of Jacobian algebra is just the ground ring.)

This is achieved via induction, using an interesting combinatorial property of these quivers: the rightmost vertex can always be turned into a source/sink via mutations. (\leftarrow triangular extensions)

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A few questions			

1. Injectivity of \mathfrak{C} ? I conjecture yes (open even for the trefoil A_2 -case).



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- 1. *Injectivity* of \mathfrak{C} ? I conjecture yes (open even for the trefoil A_2 -case).
- Surjectivity of C is proven now: can we prove that every seed comes from a weave? (← Also conjecture yes: see harmonic maps to affine buildings)

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- Given a Lagrangian filling L, how many L-compressing system are there for it? Also, how many cluster structures exist on M(Λ_β)?

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- Given a Lagrangian filling L, how many L-compressing system are there for it? Also, how many cluster structures exist on M(Λ_β)?
- Generalize this program for a general Λ. This includes building the right L-compressing systems and understanding what it means for a dg-category (or at least a D⁻-stack) to be a cluster algebra.



Thanks a lot for attending these lectures!



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