

# Skein and cluster algebras of unpunctured surfaces for $sp_4$ (arXiv: 2207.01540)

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## plan

- §1 Main results
- §2 clasped  $sp_4$ -skein alg.  $\mathcal{S}$  and  $\mathbb{Z}_2$ -form of  $\mathcal{S}$
- §3 construction of  $\mathcal{A}$  in  $\text{Frac } \mathcal{S}$
- §4 inclusion  $\mathcal{S}[\partial^{-1}]$  into  $\mathcal{A}$
- §5 characterization of cluster variables

$\Sigma = (\Sigma, \mathbb{M})$  : an unpunctured marked surface

## § Main results

### Conjecture

the "clasped"  $g$ -skein algebra

$$\mathcal{S}_{g, \Sigma}[\partial^{-1}]$$

$$\hookrightarrow \mathcal{A}_{g, \Sigma}^{\mathbb{Z}_2}$$

the quantum cluster algebra associated with  $S_g(g, \Sigma)$

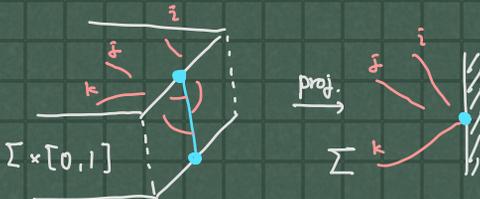
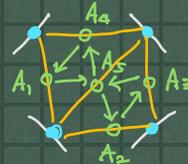
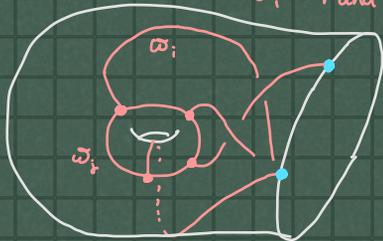
$$\mathcal{A}_{g, \Sigma}^{\mathbb{Z}_2} \hookrightarrow \mathcal{U}_{g, \Sigma}^{\mathbb{Z}_2}$$

in  $\text{Frac } \mathcal{S}_{g, \Sigma}$

1-3-valent graph  
+ skein relation

cluster variables  
+ exchange relation.

$g$ -web  
 $V_{\alpha_i} \in \text{Fund Rep } g$



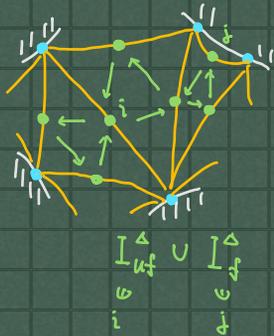
" The skein algebra gives a quantization of the moduli space  $\mathcal{A}_{g, \Sigma}$  of decorated twisted  $G$ -local systems on  $\Sigma$  ( $\mathcal{O}(\mathcal{A}_{g, \Sigma}^{\times}) = \mathcal{U}_{g, \Sigma}$ ) "

⊙ Muller ('16)  $\mathfrak{g} = \mathfrak{sl}_2$   $\mathfrak{sl}_2$ -web = tangles on  $\Sigma$   
 (no trivalent vertices)

$$\left\{ \begin{array}{l} \mathcal{A}_{\mathfrak{sl}_2, \Sigma}^{\mathfrak{g}} \subset \mathcal{S}_{\mathfrak{sl}_2, \Sigma}[\partial^{-1}] \subset \mathcal{U}_{\mathfrak{sl}_2, \Sigma} \text{ (in } \text{Frac } \mathcal{S}_{\mathfrak{sl}_2, \Sigma} \text{)} \\ \mathcal{A}_{\mathfrak{sl}_2, \Sigma}^{\mathfrak{g}} = \mathcal{U}_{\mathfrak{sl}_2, \Sigma}^{\mathfrak{g}} \end{array} \right.$$

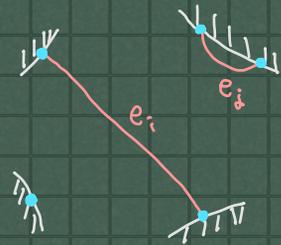
$$\rightsquigarrow \mathcal{A}_{\mathfrak{sl}_2, \Sigma}^{\mathfrak{g}} = \mathcal{S}_{\mathfrak{sl}_2, \Sigma}[\partial^{-1}] = \mathcal{U}_{\mathfrak{sl}_2, \Sigma}^{\mathfrak{g}}$$

ideal triangulation  $\Delta$

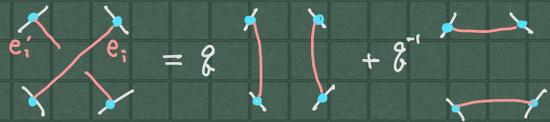


cluster variables  
 $\{A_i \mid i \in I^{\Delta}\}$

$\longleftrightarrow$



$\mathfrak{g}$ -exchange rel = skein rel



$\left\{ \begin{array}{l} \text{we know all cluster variables in } \mathcal{S}_{\mathfrak{sl}_2, \Sigma} \\ \text{the quantum exchange relation} \end{array} \right. \Rightarrow \mathcal{A}_{\mathfrak{sl}_2, \Sigma}^{\mathfrak{g}} \subset \mathcal{S}_{\mathfrak{sl}_2, \Sigma}^{\mathfrak{g}}[\partial^{-1}]$   
 = the skein relation

$\mathfrak{g}$ : higher rank

(Hard) Realizing all cluster variables as  $\mathfrak{g}$ -webs in  $\mathcal{S}_{\mathfrak{g}, \Sigma}$   
 $\uparrow \exists$  clusters do not come from  
 "decorated ideal triangulations".

⑩  $\mathfrak{g} = \mathfrak{sl}_3$  Ishibashi - Y. (2021)

$$\begin{array}{l} \textcircled{1} \mathcal{A}_{\mathfrak{sl}_3, \Sigma}[\partial^{-1}] \subset \mathcal{A}_{\mathfrak{sl}_3, \Sigma}^{\mathbb{Z}} \subset \mathcal{U}_{\mathfrak{sl}_3, \Sigma}^{\mathbb{Z}} \subset \text{Frac} \mathcal{A}_{\mathfrak{sl}_3, \Sigma} \\ \cup \qquad \qquad \qquad \text{(I)} \qquad \qquad \qquad \cup \\ \textcircled{2} \begin{array}{l} \text{"elevation-preserving"} \\ \mathfrak{sl}_3\text{-webs} \\ \text{w.r.t } \Delta \end{array} \xrightarrow{\text{(II)}} \begin{array}{l} \text{Laurent polynomial in } \mathcal{L}_{\Delta} \\ \text{with coefficients in } \mathbb{Z}_+[\mathbb{Q}^{\pm 1/2}] \end{array} \end{array}$$

(I) the sticking trick      (II) the cutting trick

⑪  $\mathfrak{g} = \mathfrak{sp}_4$  Ishibashi - Y. (2022)

Theorem      ① & ② in a similar way (I) (II)

difference from the  $\mathfrak{sl}_3$  case:

$$\begin{cases} \mathcal{A}_{\mathfrak{sl}_3, \Sigma} : \text{a } \mathbb{Z}[\mathbb{Q}^{\pm 1/2}] \text{-algebra} \\ \mathcal{A}_{\mathfrak{sp}_4, \Sigma} : \text{a } \mathbb{Z}[\mathbb{Q}^{\pm 1/2}, 1/2] \text{-algebra} \end{cases}$$

- We define "the  $\mathbb{Z}_{\mathbb{Q}}$ -form  $\mathcal{A}_{\mathfrak{sp}_4, \Sigma}^{\mathbb{Z}_{\mathbb{Q}}}$ " of  $\mathcal{A}_{\mathfrak{sp}_4, \Sigma}$  and show  $\mathcal{A}_{\mathfrak{sp}_4, \Sigma}^{\mathbb{Z}_{\mathbb{Q}}}[\partial^{-1}] \subset \mathcal{A}_{\mathfrak{sp}_4, \Sigma}^{\mathbb{Z}}$
- The Laurent positivity is shown in  $\mathbb{Z}_+[\mathbb{Q}^{\pm 1/2}, 1/2]$

⑫ Combine with a result in Ishibashi - Oya - Shen (2022) ( $\mathcal{A} = \mathcal{U}$ )

Corollary  $\mathcal{A}_{\mathfrak{sp}_4, \Sigma}^{\mathbb{Z}}[\partial^{-1}] = \mathcal{A}_{\mathfrak{sp}_4, \Sigma} = \mathcal{U}_{\mathfrak{sp}_4, \Sigma} = \mathcal{O}(\mathcal{A}_{\mathfrak{sp}_4, \Sigma}^{\times})$

## §2. the clasped $sp_4$ -skein algebra

$$\mathcal{R} := \mathbb{Z}[v^{\pm 1/2}, 1/[2]] \quad ([n] = \frac{v^n - v^{-n}}{v - v^{-1}})$$

$$\mathcal{S}_{sp_4, \Sigma} := \mathcal{R} \{ sp_4\text{-graphs on } \Sigma \} / sp_4\text{-skein relations}$$

type 1 edge  $\text{---} : \omega_1$   
 type 2 edge  $\text{=}= : \omega_2$



### • $sp_4$ -skein relations

- Kuperberg's

"internal" skein relations

- "clasped" skein relation

(NEW relations)

$\bigcirc = -\frac{[2][6]}{[3]} \bigcirc$   
 $\bigcirc = \frac{[5][6]}{[2][3]} \bigcirc$   
 $\bigcirc = 0$   
 $\bigcirc = -[2] \bigcirc$   
 $\bigcirc = 0$   
 $\bigcirc - [2] \bigcirc = \bigcirc - [2] \bigcirc$   
 $\bigcirc = \frac{v^2}{[2]} \bigcirc + v^{-1} \bigcirc + \bigcirc$   
 $= v \bigcirc + \frac{v^{-2}}{[2]} \bigcirc + \bigcirc$   
 $\bigcirc = v \bigcirc + v^{-1} \bigcirc$   
 $\bigcirc = v \bigcirc + v^{-1} \bigcirc$   
 $\bigcirc = v^2 \bigcirc + v^{-2} \bigcirc + \bigcirc$

&

$\bigcirc = v \bigcirc$   
 $\bigcirc = v^2 \bigcirc$   
 $\bigcirc = v \bigcirc$   
 $\bigcirc = \frac{1}{[2]} \bigcirc$   
 $\bigcirc = 0$   
 $\bigcirc = 0$   
 $\bigcirc = 0$   
 $\bigcirc = 0$

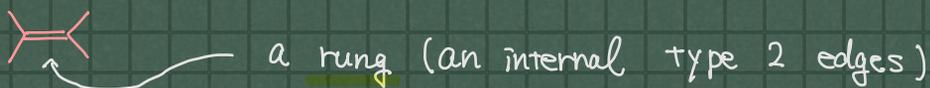
\* We use the simultaneous crossings defined by:

$\bigcirc := v^{-1/2} \bigcirc$   
 $\bigcirc := v^{-1/2} \bigcirc$   
 $\bigcirc := v^{-1/2} \bigcirc$   
 $\bigcirc := v^{-1} \bigcirc$

These skein relations realize the Reidemeister moves (framed ver.)



• Crossroads, rungs and legs



We define a new 4-valent vertex as

$$\begin{array}{c} \times \\ \uparrow \\ \text{a crossroad (introduced by Kuperberg)} \end{array} := \begin{array}{c} \text{rung} \\ - \frac{1}{[2]} \end{array} \begin{array}{c} \text{cup} \\ \text{cap} \end{array} = \begin{array}{c} \text{rung} \\ - \frac{1}{[2]} \end{array} \begin{array}{c} \text{cup} \\ \text{cap} \end{array} \quad \left( \begin{array}{l} \mapsto \boxed{\begin{array}{l} \times = v \quad (+v^{-1} \times) \\ + \times \end{array}} \end{array} \right)$$

Definition

A crossroad web is an  $sp_4$ -web represented by a 1-3-4-valent graph with no rungs.

Definition

- A basis web is a flat crossroad web with no elliptic faces.

↳ no internal crossings  
only simultaneous crossings



•  $BWeb_{sp_4, \Sigma} := \{ \text{basis webs on } \Sigma \} \subset S_{sp_4, \Sigma}$

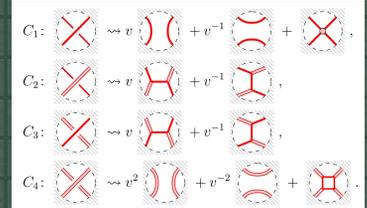
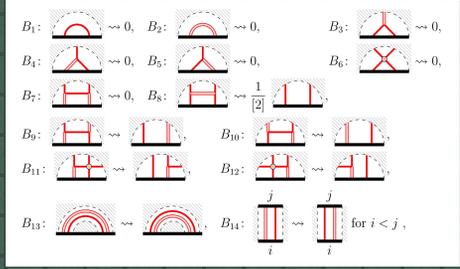
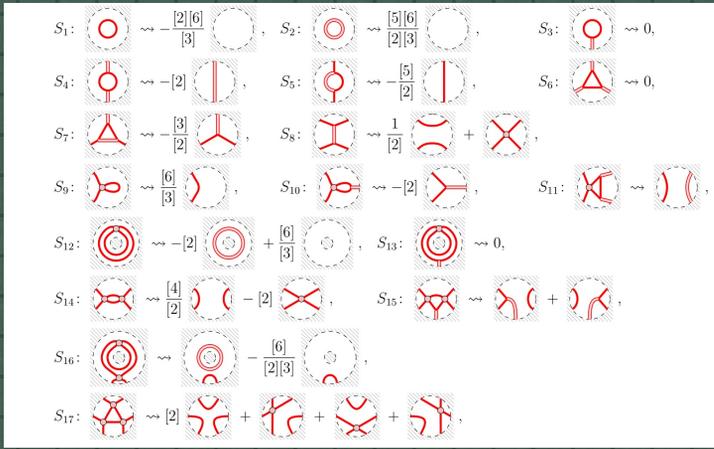


Theorem (IY)

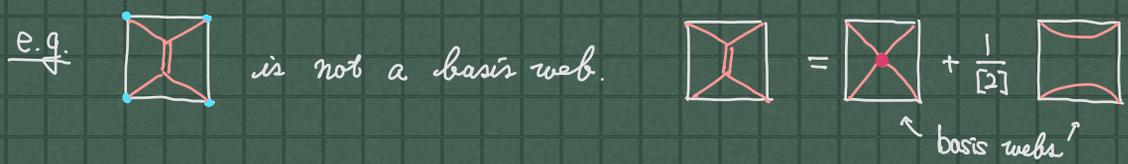
$BWeb_{sp_4, \Sigma}$  is an  $\mathbb{R}$ -basis of  $S_{sp_4, \Sigma}$

proof By Sikora - Westbury's confluence theory  
(the diamond lemma for the skein theory)

# The reduction rules for $sp_n$ -web



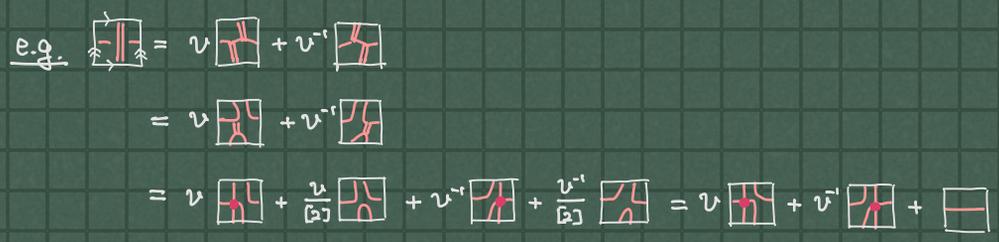
$X$ : an  $sp_n$ -graph  $\xrightarrow{\text{reduction rules}}$   $X = \sum a_i W_i$   
 unique  $\uparrow$  basis web



Definition The  $\mathbb{Z}_v$ -form of  $S_{sp_n, \Gamma}$  is defined by  
 $S_{sp_n, \Gamma}^{\mathbb{Z}_v} := \mathbb{Z}_v \text{BWeb}_{sp_n, \Gamma}$  ( $\mathbb{Z}_v := \mathbb{Z}[v^{\pm 1/2}]$ )

Theorem (IT)  $S_{sp_n, \Gamma}^{\mathbb{Z}_v}$  is a  $\mathbb{Z}_v$ -algebra.

proof Show  $\forall G_1, \forall G_2 \in \text{BWeb}_{sp_n, \Gamma}$ ,  $G_1 G_2 \in \mathbb{Z}_v \text{BWeb}_{sp_n, \Gamma}$



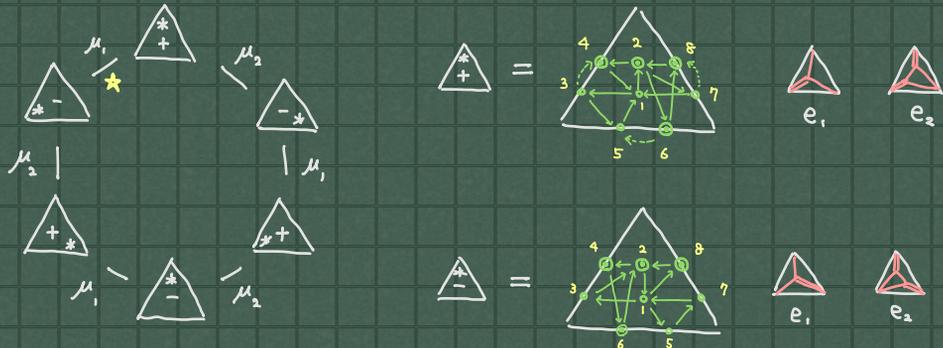
# §3 Construction $\mathcal{A}_{S_{\mu_2, \Gamma}}^{\mathcal{B}}$ in $\text{Frac } S_{\mu_2, \Gamma}^{\mathcal{B}=\nu}$

STEP 1  $T = \text{triangle}$  

Lemma  $S_{S_{\mu_2, T}}^{\mathbb{Z}_2}$  is generated by  and boundary webs  ...

Theorem  $S_{S_{\mu_2, T}}^{\mathbb{Z}_2} = \mathcal{A}_{S_{\mu_2, T}}^{\mathcal{B}} \leftarrow \{ \text{cluster} \} = \{ \text{dec. ideal triangulations} \}$

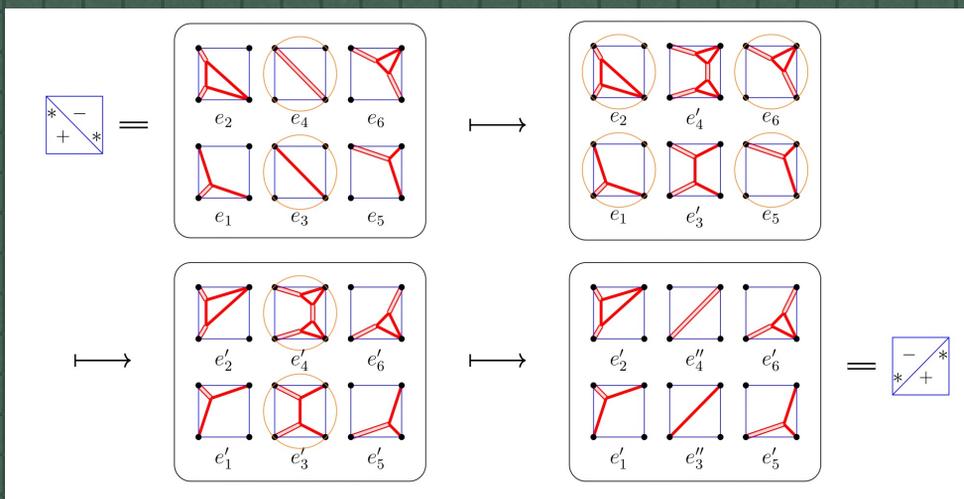
weighted quiver



• Let us see  $\star \mu_1 : e_1 e_1' = \mathcal{B}^{-\frac{1}{2}} [e_2 e_3] + \mathcal{B}^{\frac{1}{2}} [e_4 e_5 e_7]$   $e_1 = \text{triangle}$   $e_1' = \text{triangle}$

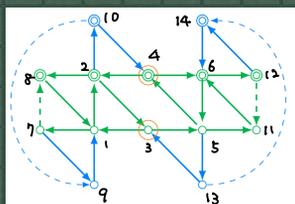
$$\begin{aligned}
 e_1 e_1' &= \text{triangle} = \mathcal{B}^{-\frac{1}{2}} \text{triangle} \\
 &= \mathcal{B}^{-\frac{1}{2}} \left( \mathcal{B} \text{triangle} + \frac{\mathcal{B}^{-1}}{[2]} \text{triangle} + \text{triangle} \right) \\
 &= \mathcal{B}^{\frac{1}{2}} \text{triangle} + \mathcal{B}^{-\frac{1}{2}} \text{triangle}
 \end{aligned}$$

**STEP 2** Check flips between decorated ideal triangulations.



① We can confirm that the mutation sequence is realized by skein relations

e.g.



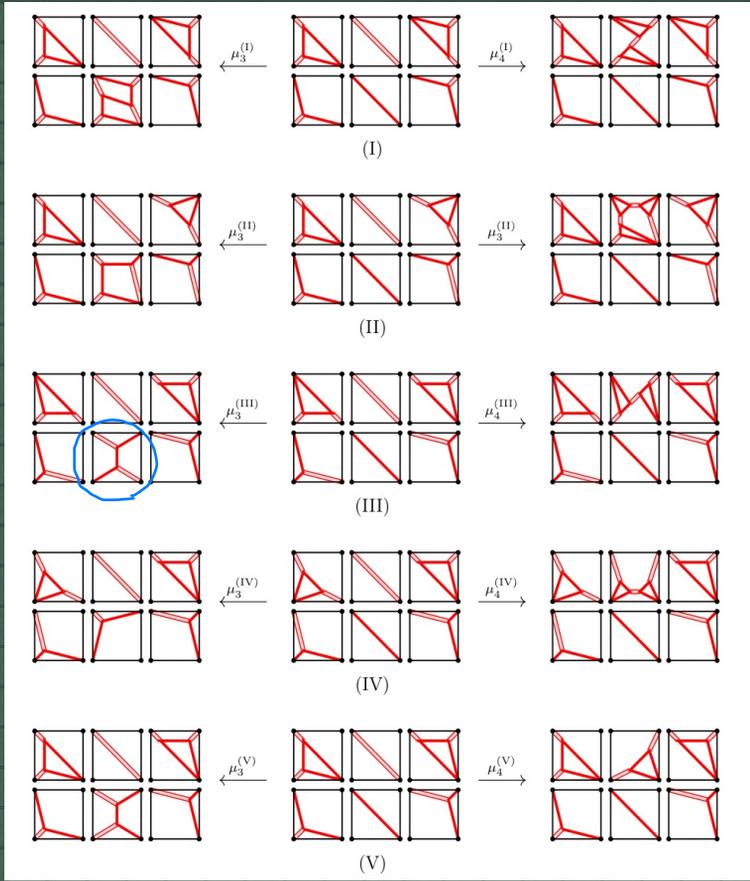
$$\begin{aligned}
 & \text{Diagram } e_4 e'_4 = \mathfrak{z} \text{ Diagram} = \mathfrak{z} \text{ Diagram} + \mathfrak{z}^{-1} \text{ Diagram} \\
 & = \mathfrak{z} \text{ Diagram} + \mathfrak{z}^{-1} \text{ Diagram } e_2
 \end{aligned}$$

Definition

$\mathcal{A}_{Sp_4, \mathbb{I}}^{\mathfrak{z}} = \mathcal{A}_{S_{\mathfrak{z}}(Sp_4, \mathbb{I})}$  is the quantum cluster algebra associated with the canonical mutation class  $S_{\mathfrak{z}}(Sp_4, \mathbb{I})$  containing  $\{Q^{\Delta}\}$  all decorated ideal triangulation  $\Delta$ .

Remark  $\forall \Delta, \Delta' : \text{decorated ideal triangulation } \Delta \leftrightarrow \dots \leftrightarrow \Delta' \underset{\exists \text{ mutation sequence}}{\text{}}$

⑧ Other  $sp_4$ -webs in  $\mathcal{A}_{sp_4, \mathbb{Z}}$



(I) =

(II) =

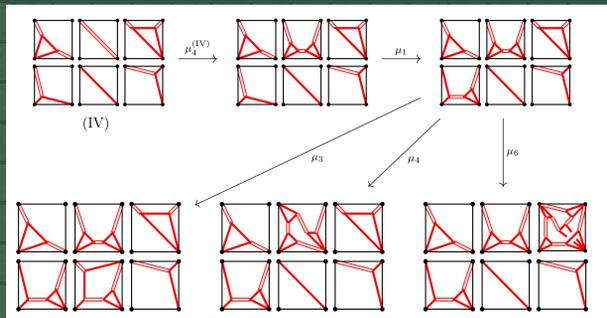
(III) =

(IV) =

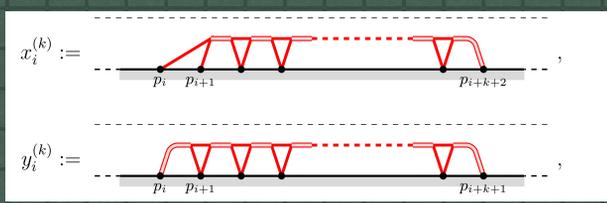
(V) =

⑨ All matrix elements of a simple Wilson line appear in these sequence. [cf. Ishibashi - Oya - Shen '22]

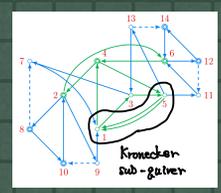
⑩ More examples



⑪ An infinite sequence



$$\chi_0^{(k+4)} \chi_0^{(k)} = v \circ y_{k+2}^{(3)} \otimes v^\Delta (\chi_2^{(k+2)})^2$$



§4.  $\mathcal{S}_{sp_4, \Sigma}[\partial^{-1}] \subset \mathcal{A}_{sp_4, \Sigma}^{\mathbb{Z}}$

⊙ Consequence of "§3.  $\mathcal{A}_{sp_4, \Sigma}^{\mathbb{Z}} \subset \text{Frac } \mathcal{S}_{sp_4, \Sigma}$ "

(1)  $\mathcal{A}_{sp_4, \Sigma}^{\mathbb{Z}} \subset \text{Frac } \mathcal{S}_{sp_4, \Sigma}$

(2)  $\text{SimpWil}_{sp_4, \Sigma}^{\omega_1} := \left\{ \begin{array}{l} \text{sp}_4\text{-graph s.t.} \\ \text{"simple Wilson lines"} \\ \text{colored by } \omega_1 \end{array} \right\}$

▣ Show  $\mathcal{S}_{sp_4, \Sigma}^{\mathbb{Z}}[\partial^{-1}]$  is generated by  $\text{SimpWil}_{sp_4, \Sigma}^{\omega_1}$   
 (and  $\mathcal{S}_{sp_4, \Sigma}$  is an Ore domain)  $\rightsquigarrow \mathcal{S}_{sp_4, \Sigma}^{\mathbb{Z}}[\partial^{-1}] \subset \mathcal{A}_{sp_4, \Sigma}^{\mathbb{Z}}$

Theorem (IY.)  $\mathcal{S}_{sp_4, \Sigma}[\partial^{-1}]$  is an Ore domain.

Sketch of proof  $\mathcal{S}_{sp_4, \Sigma}[\partial^{-1}]$

$$\begin{array}{ccc} \mathcal{S}_{sp_4, \Sigma}[\partial^{-1}] & & \\ \downarrow \cong & & \\ \mathcal{S}_{sp_4, \Sigma}^{\text{stated, rd}} & \xrightarrow{\quad} & \bigotimes_{T \in \Delta} \mathcal{S}_{sp_4, T}^{\text{stated, real}} \end{array}$$

[IY, in preparation]

$$\left\{ \begin{array}{l} \downarrow \cong : \text{the state-clasp correspondence (c.f. al}_2: \text{Lê-Yu)} \\ \hookrightarrow : \text{the splitting homomorphism (c.f. al}_2: \text{T.T.Q. Lê, al}_3: \text{Higgins, al}_4: \text{Lê-Sikora)} \end{array} \right. \quad \bigotimes_{T \in \Delta} \mathcal{S}_{sp_4, T}[\partial^{-1}] = \bigotimes_{T \in \Delta} \mathcal{A}_{sp_4, T}^{\mathbb{Z}}(\mathbb{R})$$

Corollary.  $\mathcal{S}_{sp_4, \Sigma}^{\mathbb{Z}}[\partial^{-1}] \subset \text{Frac } \mathcal{S}_{sp_4, \Sigma}$

② The Cutting trick & the sticking trick.

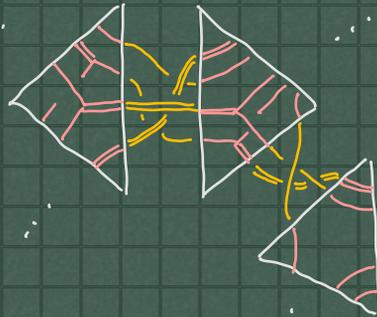
Lemma (the cutting trick)

(3.1)

(3.2)

- Remark
- The coefficients are positive
  - $\mathcal{S}_{\text{sp}_4, \Sigma}^{\mathbb{Z}_2} \subset \mathcal{U}_{\text{sp}_4, \Sigma}$

$\rightsquigarrow$  Laurent positivity for "elevation preserving webs".



$$\in \mathcal{A}_{\text{sp}_4, \Sigma}^{\mathbb{Z}_2} \otimes \mathcal{R}$$

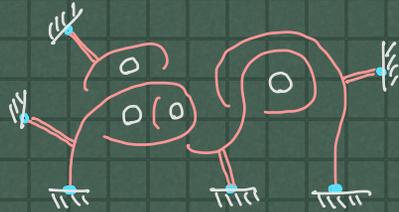
$$\uparrow$$

$$\mathbb{Z}_2[\frac{1}{[2]}]$$

Lemma (the sticking trick)

Proposition  $\mathcal{S}_{\mathbb{Z}_2, \Sigma}^{\mathbb{Z}_2}$  is generated by

- "descending loops & arcs with/without legs" of type 1
- simple loops/arcs of type 2



proof Use a filtration by the "number" of crossings and crossroads

Theorem  $\mathcal{S}_{\mathbb{Z}_2, \Sigma}^{\mathbb{Z}_2}[\partial^{-1}]$  is generated by  $\text{SimpWil}_{\mathbb{Z}_2, \Sigma}^{\partial^{-1}}$  for  $\Sigma$  with  $\#\{\text{marked points}\} \geq 2$ .

*localization by boundary webs*

proof ① arcs of type 2



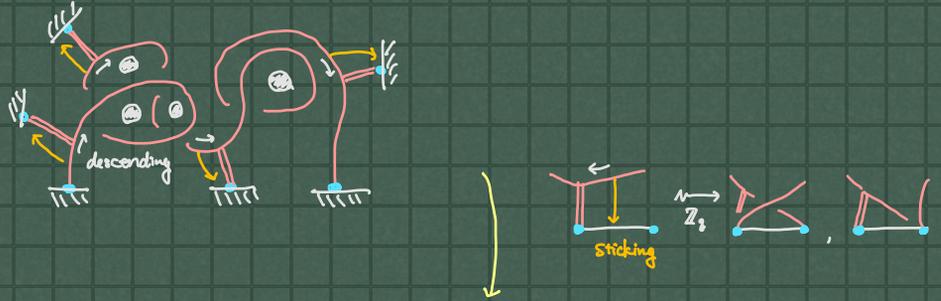
② loops of type 2

① the sticking trick for type 2

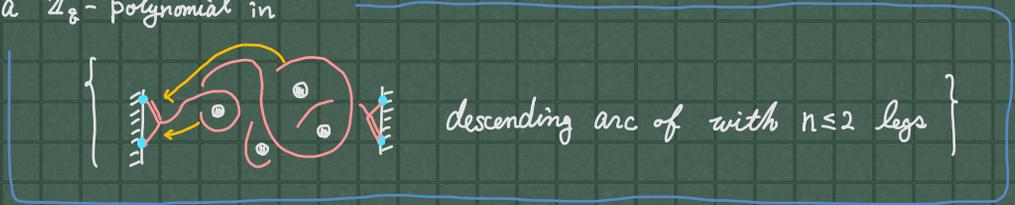


$$[2] \left( \begin{array}{c} \bullet \\ \diagdown \\ \bullet \\ \diagup \\ \bullet \end{array} \right) = [2] \left( \begin{array}{c} \bullet \\ \diagdown \\ \bullet \\ \diagup \\ \bullet \end{array} \right) - v^2 \left( \begin{array}{c} \bullet \\ \diagdown \\ \bullet \\ \diagdown \\ \bullet \end{array} \right) - v^{-1} \left( \begin{array}{c} \bullet \\ \diagup \\ \bullet \\ \diagup \\ \bullet \end{array} \right)$$

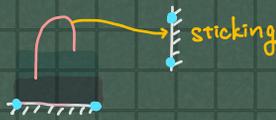
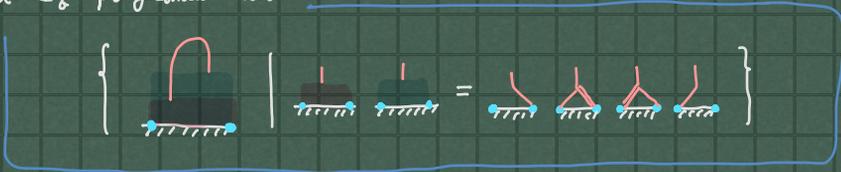
⑩ descending curves with/without legs



a  $\mathbb{Z}_2$ -polynomial in

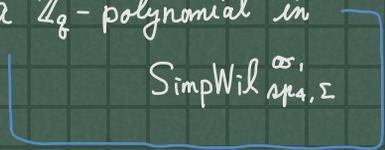


a  $\mathbb{Z}_2$ -polynomial in



use  $\#\{\text{marked points}\} \geq 2$

a  $\mathbb{Z}_q$ -polynomial in



Corollary  $\mathcal{S} = \mathcal{A} = \mathcal{U} = \mathcal{O}$  at  $q=1$

# §5 Characterization of cluster variables

invariant under Donaldson-Thomas transformation

Conjecture  $\text{EWeb}_{n+1, \Sigma} \setminus (\text{EWeb}_{n+1, \Sigma})^{\text{DT}} = \text{Tree}_{n+1, \Sigma} = \text{CV}_{n+1, \Sigma}$

Definition  $G \in \text{BWeb}_{n+1, \Sigma}$  is an elementary web if

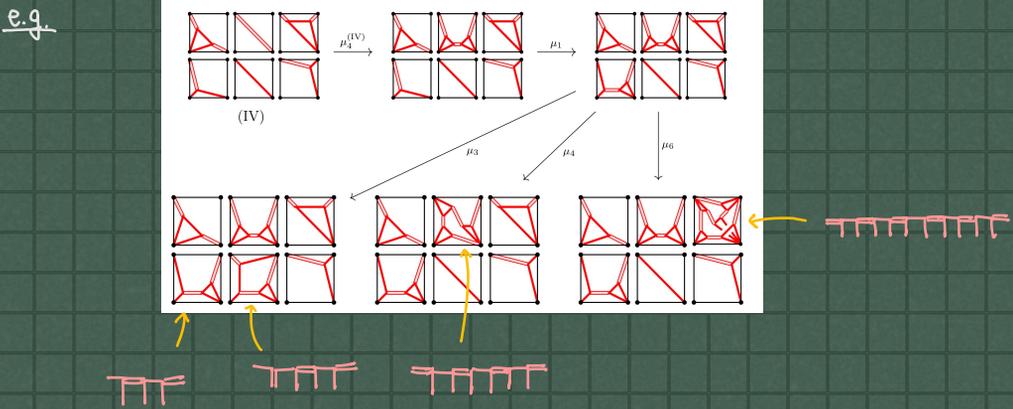
- $G$  is indecomposable and
- $\forall S \subset \{\text{rings of } G\}, G|_S = 0$  in  $\mathcal{S}_{n+1, \Sigma}^{\mathbb{Z}_2}$

$\text{EWeb}_{n+1, \Sigma} := \{\text{elementary webs on } \Sigma\}$



Definition

$G \in \text{EWeb}_{n+1, \Sigma}$  is tree-type  $\iff \tilde{G}: \text{tree}$  s.t.  $G = \mathfrak{g}^{\otimes} \tilde{G}$



Thank you